

ORSPA gets 75%

FSE Deans office gets 10%

SEBE gets 5%

Investigator(s) get 5%

Investigator(s) also get 5% for their IIA

The 25% depends on the percentage allocated to the PI for the project.

What is REC, RID, & IIA?:

The Allocation of Recognition, Research Incentive Distribution, and Investigator Incentive Awards

All principal investigators (PIs), their home unit(s) and their percentages of *Recognition (REC)*, *Research Incentive Distribution (RID)*, and *Investigator Incentive Award (IIA)* are identified in the allocation section in the Enterprise Research Administration system (ERA) for each sponsored project submitted by ASU. To appropriately identify and allocate REC, RID, and IIA, completion of the allocation section is required. The proportions identified for each investigator and his or her home unit(s) determine the amount of Recognition each will receive for each project (proposal, award, and expenditure) and the proportions of available OKED RID and IIA funds they will receive. All faculty are strongly encouraged to review the Arizona Board of Regent's [ASU Research and Sponsored Projects Manual – RSP 106](#) for policy guidance on this topic. The following information explains in brief detail the financial impact behind these allocations.

REC

REC stands for the **recognition** that each PI will receive on a sponsored project. This percentage will determine what dollar amount the PI will receive credit for when submitting proposals, receiving awards, and incurring expenditures on his/her sponsored accounts.

For example: if a PI was to claim 100% Recognition and the proposal, award, or expenditure is for \$50,000, credit for \$50,000 that would be reported to the PI directly on his/her next annual activity report.

RID

RID stands for **R**esearch **I**ncentive **D**istribution. ASU's Office for Knowledge Enterprise Development (OKED) returns 20% of the Facilities and Administrative costs (F&A) recovered on sponsored projects by ASU to the responsible college as *research incentive funds*. The percentage each PI claims will determine how much of this 20% will be returned to the overall Ira A. Fulton Schools of Engineering, the school, and PI(s).

For example: If ASU were to recover \$10,000 in F&A on a sponsored project, OKED would distribute \$2,000 (20%) to the colleges based on what was filled out in this column. Therefore, if a PI had 50% in their RID column, \$1,000 would come back to Engineering to be distributed.

The \$1,000 would be deposited in Schools of Engineering Dean's RID account to be distributed on an annual basis. Those funds are split out based on the following formula (*Note: this method of dissemination is specific for Engineering only and may differ in different schools or colleges on campus, and may also change within Engineering as required by the dean*):

- Dean's office: $\frac{1}{2}$ (10%) of the 20% returned
- Engineering School: $\frac{1}{4}$ (5%) of the 20% returned
- PI: $\frac{1}{4}$ (5%) of the 20% returned

If there is an ABOR-approved center involved in the distribution, the formula is as follows:

- Dean's office: 8% of the 20% returned
- Department: 4% of the 20% returned
- PI: 4% of the 20% returned
- ABOR Center: 4% of the 20% returned

NOTE: If you apply for a grant that has reduced or waived F&A that is not a federal sponsor, RID is forfeited to all parties with no exceptions granted.

IIA

IIA stands for **I**nvestigator **I**ncentive **A**ward. This works similarly to RID, as it is based on F&A recovered by the university. Instead of the 20% recovered by RID, IIA receives 5% of the recovered F&A and is shared between all the investigators on the project. This 5% goes directly into the investigator(s) account(s) without splitting it among their Dean's offices or schools. If the IIA amount received is under \$100, it will not be distributed to any co-investigator(s), but will be added only to the lead PI's account.